

## PD-L1 expression in metastatic neuroblastoma as an additional mechanism for limiting immune surveillance

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Neuroblastomas (NB) are neuroectodermal tumors that account for 15% of all childhood cancer deaths. Different prognostic factors including age, stage and amplification of *MYCN* (*MYCN<sup>amp1</sup>*), are critical for defining NB risk and for guiding therapeutic choices<sup>1</sup>. In this context, although different strategies have been approached, the prognosis of high-risk neuroblastoma (NB) remains poor due to the high frequency of relapse which not only occurs at the primary tumor site but frequently arises in the bone marrow. Thus, *in vivo*, neuroblastoma exploits different mechanisms to escape the immune surveillance<sup>2</sup>. These might include the so called “adaptive immune resistance” characterized by the *de-novo* expression of Programmed Death Ligands (PD-Ls)<sup>3</sup> induced by IFN- $\gamma$  whose storm occurs after different immunotherapeutic approaches. In the present study we analyzed the constitutive and the inducible surface expression of PD-Ls in NB cells. We showed that PD-L1 is constitutively expressed by virtually all HLA class I<sup>pos</sup> NB cell lines, whereas PD-L2 is rarely detected. Moreover PD-L1 could be acquired/upregulated in both NB cell lines and NB engrafted nude/nude mice. Importantly, after IFN- $\gamma$  stimulation, PD-L1 can be expressed by metastatic neuroblasts isolated from bone marrow aspirates of high-risk NB patients, characterized by different *MYCN* amplification status. Interestingly, in one case, metastatic neuroblasts were poorly responsive to IFN- $\gamma$  stimulation, suggesting that responsiveness to IFN- $\gamma$  might represent a further element of heterogeneity in metastatic neuroblasts. Finally, we documented the presence of PD-1-positive lymphocytes in NB-infiltrated bone marrow of patients. These cells are mainly represented by  $\alpha\beta$  T cells but also include small populations of  $\gamma\delta$  T cells and NK cells. Moreover, PD-1<sup>pos</sup> T cells expressed higher levels of activation markers as compared to the negative counterpart. Overall our data show that a PD-L1-mediated immune resistance mechanism might occur in metastatic neuroblasts and provide a biological rationale for blocking the PD-1/PD-Ls axis in future combined immunotherapeutic approaches.

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### Keywords

Anti-tumor immunity; Immune checkpoints; Neuroblastoma; Natural Killer cells; T cells; PD-1; PD-L1; PD-L2; INF- $\gamma$  TNF-a.